

#42

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2

In the Application of VAN NEST et al.

Serial No. 09418,870

Art Unit: 1813

Examiner: H. Ayer

Filed: April 7, 1995

Title: ADJUVANT FORMULATION COMPRISING SUBMICRON OIL DROPLET EMULSION

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
<i>Q</i>	AA-2	3,790,665	February 5, 1974	Glass et al.			

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES	Translation NO
<i>Q</i>	AB-2	A-O 135 376	March 27, 1985	EPO				
<i>Q</i>	AC-2	A-O 382 271	August 16, 1990	EPO				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
<i>Q</i>	AD-2	Affidavit of John D. Barackman and Mastersizer Results
<i>Q</i>	AE-2	Becher, <i>Emulsions Theory and Practice</i> , 2nd Edition, Chapter 5, (1965)
<i>Q</i>	AF-2	Herbert, "The Mode of Action of Mineral-Oil Emulsion Adjuvants on Antibody Production in Mice," <i>Immunology</i> 14:301-318 (1968)

Examiner:

Date Considered:

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 2 of 2

In the Application of VAN NEST et al.










Serial No.: 08/418,870

Art Unit: 1813 ¹⁶⁴³

Filed: April 7, 1995

Examiner: H. Ayer

Title: ADJUVANT FORMULATION COMPRISING SUBMICRON OIL DROPLET EMULSION

Exam. Init.	Ref. Desig.	Description
	AG-2	Kaufman et al., "Spectral Absorption Measurements for Determination of Ease of Formation and Stability of Oil in Water Emulsions," <i>J. Dispersion Science and Technology</i> <u>2</u> (4):475-490 (1981)
	AH-2	Ott et al., <i>Vaccine Design: The Subunit and Adjuvant Approach</i> , Chapter 10, Powell et al., Ed., Plenum Press, New York
	AI-2	Sanchez-Pescador et al., "The Effect of Adjuvants on the Efficacy of a Recombinant Herpes Simplex Virus Glycoprotein Vaccine," <i>J. Immunology</i> <u>141</u> :1720-1727 (1988)
	AJ-2	Silvestri et al., "Theoretical Evaluation of Dispersed Droplet Radii in Submicron Oil-in-Water Emulsions," <i>International Journal of Pharmaceutics</i> <u>50</u> (2):141-146 (1989)
	AK-2	Singh et al., "Parenteral Emulsions as Drug Carrier Systems," <i>J. Parenteral Science & Technology</i> <u>40</u> (1):34-41 (1986)
	AL-2	Woodard et al., "Stable Oil-in-Water Emulsions: Preparation and Use as Vaccine Vehicles for Lipophilic Adjuvants," <i>Vaccine</i> <u>3</u> :137-144 (1985)
	AM-2	Yarkoni et al., "Influence of Type of Oil and Surfactant Concentration on the Efficacy of Emulsified <i>Mycobacterium bovis</i> BCG Cell Walls to Induce Tumor Regression in Guinea Pigs," <i>Infection and Immunity</i> <u>28</u> (3):881-886 (1980)
	AN-2	Copy of Decision Revoking the European Patent
	AO-2	Statement of Grounds of Appeal to the Decision Revoking the European Patent

Examiner:



Date Considered:

5/19/98

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.